

2161
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MAY 21 2002
PATENT & TRADEMARK OFFICE

TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
42577/28695

In Re Application Of:
Barrette et al.

Serial No.
09/837,112

Filing Date
04/18/2001

Examiner
To be assigned

Group Art Unit
2161

Title:

SECURE DIGITAL MEDICAL INTELLECTUAL PROPERTY (IP) DISTRIBUTION, MARKET APPLICATIONS, AND MOBILE DEVICES

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS

Transmitted herewith is:
Information Disclosure Statement w/ref.
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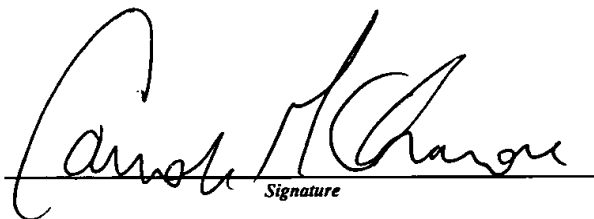
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Dated: May 17, 2002

Caroline G. Chicoine, Reg. No. 38,198
Thompson Coburn LLP
One US Bank Plaza, Suite 3500
St. Louis, Missouri 63101-9928
314-552-6499
314-552-7499 FAX

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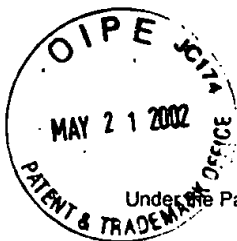
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|---|---------------------------|----------------------------|---------------------------|--|
| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) | | | Docket No. 42577/28695 | |
| In Re Application Of: Barrette et al. | | | | |
| Serial No. 09/837,112 | Filing Date 04/18/2001 | Examiner To be assigned | Group Art Unit 2161 | |
| Title: SECURE DIGITAL MEDICAL INTELLECTUAL PROPERTY (IP) DISTRIBUTION, MARKET APPLICATIONS, AND MOBILE DEVICES | | | | |
| <div style="text-align: center;"> Address to: Assistant Commissioner for Patents Washington, D.C. 20231 </div> <div style="text-align: center; margin-top: 10px;"> 37 CFR 1.97(b) </div> <div style="margin-top: 20px;"> 1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. </div> <div style="text-align: center; margin-top: 10px;"> 37 CFR 1.97(c) </div> <div style="margin-top: 20px;"> 2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: <div style="margin-left: 40px; margin-top: 10px;"> <input type="checkbox"/> the statement specified in 37 CFR 1.97(e); </div> <div style="text-align: center; margin-top: 10px;"> OR </div> <div style="margin-left: 40px; margin-top: 10px;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p). </div> </div> | | | | |

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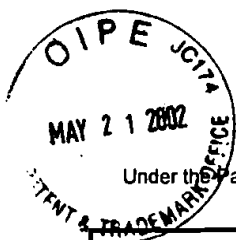
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| 37 CFR 1.501 INFORMATION DISCLOSURE CITATION IN A PATENT (Use several sheets if necessary) | | | | Docket Number (Optional) 42577/01030/28695 | | Application Number 09/837,112 | | | | | | | |
|--|---|---|---|---|---|----------------------------------|----------|-------------------------------|-----------------|----------|----------------------------|----------|--|
| | | | | Applicant Barrette et al. | | | | | | | | | |
| | | | | Filing Date 04/18/2001 | | Group Art Unit 2161 | | | | | | | |
| U.S. PATENT DOCUMENTS | | | | | | | | | | | | | |
| EXAMINER INITIAL | DOCUMENT NUMBER | | | | | | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | | |
| | D | 2 | 7 | 6 | 6 | 2 | 6 | 12/04/84 | Lockwood | D20/2 | | 09/14/81 | |
| | D | 2 | 8 | 9 | 7 | 7 | 7 | 05/12/87 | Thomas | D20/1 | | 08/13/84 | |
| | D | 3 | 3 | 0 | 5 | 4 | 4 | 10/27/92 | Kane | D14/103 | | 12/17/90 | |
| | D | 3 | 3 | 9 | 3 | 2 | 9 | 09/14/93 | Lacko | D14/103 | | 10/09/90 | |
| | 4 | 1 | 5 | 9 | 4 | 1 | 7 | 06/26/79 | Rubincam | 235/375 | | 10/28/77 | |
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| | DOCUMENT NUMBER | | | | | | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | | |
| | | | | | | | | | | | YES | NO | |
| ✓ | GB 2 149 544 A | | | | | | 06/12/85 | UK | G06F 3/147 | | | | |
| ✓ | WO 99/49615 | | | | | | 09/30/99 | PCT | | | | | |
| ✓ | JP 02-257364 | | | | | | | JP (Equivalent to EP 0390611) | | | X | | |
| ✓ | 0 390 611 A2 | | | | | | 03/30/90 | EP | | | | | |
| ✓ | WO 98/08344 A2 | | | | | | 02/26/98 | PCT | | | | | |
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| | This Little Computer Tries to Be A Book, St. Louis Post Dispatch, January 4, 1995 | | | | | | | | | | | | |
| EXAMINER | | | | | | | | DATE CONSIDERED | | | | | |
| Not yet assigned | | | | | | | | | | | | | |

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42577/01030/28695Application Number
09/837,112Applicant
Barrette, et al.Filing Date
04/18/2001Group Art Unit
2161**U.S. PATENT DOCUMENTS**

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|-----------------|----------|---------------------|---------|----------|-------------------------------|
| | 4 9 8 5 6 9 7 | 01/15/91 | Boulton | 340/750 | | 01/21/88 |
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|---|-----------------|----------|---------|-------|----------|-------------|----|
| | | | | | | YES | NO |
| X | WO 98/08344 A2 | 02/26/98 | PCT | | | | |
| | WO 98/08344 A3 | 02/26/98 | PCT | | | | |
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EXAMINER
Not yet assigned

DATE CONSIDERED

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| 37 CFR 1.501 INFORMATION DISCLOSURE CITATION IN A PATENT (Use several sheets if necessary) | | | | Docket Number (Optional) 42577/01030/28695 | | Application Number 09/837,112 | | | | | | | |
|--|---|---|---|---|---|----------------------------------|---|----------|-----------------|-----------------|----------|-------------------------------|--|
| | | | | Applicant Barrette et al. | | | | | | | | | |
| | | | | Filing Date 04/18/2001 | | Group Art Unit 2161 | | | | | | | |
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| | DOCUMENT NUMBER | | | | | | | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO | |
| ✓ | WO 98/08344 A3 | | | | | | | 02/26/98 | PCT | | | | |
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| EXAMINER | | | | | | | | | | DATE CONSIDERED | | | |
| Not yet assigned | | | | | | | | | | | | | |

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| | 5 4 6 5 2 1 3 | 11/07/95 | Ross | 364/468 | | 07/12/93 |

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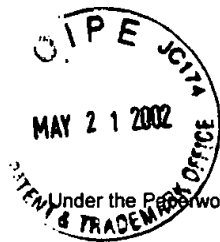
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER
Not yet assigned

DATE CONSIDERED

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| EXAMINER INITIAL | DOCUMENT NUMBER | | | | | | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | |
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| | 5 | 6 | 3 | 8 | 4 | 4 | 3 | 06/10/97 | Stefik et al. | 380/4 | | 11/23/94 |
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| | 5 | 7 | 0 | 8 | 7 | 0 | 9 | 01/13/98 | Rose | 380/4 | | 12/08/95 |
| | 5 | 7 | 1 | 5 | 4 | 0 | 3 | 02/03/98 | Stefik | 395/244 | | 11/23/94 |
| | 5 | 7 | 3 | 4 | 8 | 2 | 3 | 03/31/98 | Saigh et al. | 395/200.06 | | 07/25/96 |
| | 5 | 7 | 3 | 4 | 8 | 9 | 1 | 03/31/98 | Saigh | 395/610 | | 06/12/96 |
| | 5 | 7 | 9 | 4 | 2 | 0 | 7 | 08/11/98 | Walker et al. | 705/23 | | 09/04/96 |
| | 5 | 9 | 1 | 0 | 9 | 8 | 7 | 06/08/99 | Ginter et al. | 380/24 | | 12/04/96 |
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| EXAMINER Not yet assigned | | | | | | | | DATE CONSIDERED | | | | |

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